Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE duction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/044390 **TRANSMITTAL** Filing Date 01/11/2002 **FORM** First Named Inventor STIEHL, M. Art Unit 2874 (to be used for all correspondence after initial filing) **Examiner Name** ROJAS, O. R. Attorney Docket Number O013 P00814-US1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC ~ Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Identify below): **Extension of Time Request** Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Daniel W. Sullivan, Esquire Barlow, Josephs & Holmes, Ltd. Individual name Signature Sulleur Date 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being factorial transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Cynthia M. Branca Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant

Stiehl, M.

Serial No.

10/044,390

Filed

January 11, 2002

Title

VCSEL ARRAY OPTICAL SUBASSEMBLY WITH

ALIGNMENT MECHANISM

Examiner

ROJAS, O. R.

Art Unit: 2874

CCT 21 2303
TECHNOLOGY CENTER 2800

RESPONSE AND AMENDMENT UNDER 37 C.F.R §1.111

Mail Stop: Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action having a mailing date of July 9, 2003, Applicants respectfully request reconsideration of the above-referenced application in view of the following amendments and remarks. Please enter the following amendments.

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